Docket No.: 78781P036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

PAUL S. RAGO, ET AL.

Application No.: 10/666,641

Filed: September 18, 2003

For: AUTOMATIC AIR MOVEMENT FOR

HAIR DRYERS

Art Group: 3749

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted.

Blakely, Sofotoff, Taylor & Jarmay LLF

William E. Alford, Reg. No. 1,764/

Date: January 07, 2004

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Diesu/Carfael 01-07-04

n McFarlane Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of Sheet 1

	Complete if Known
Application Number	10/666,641
Filing Date	September 18, 2003
First Named Inventor	Paul S. Rago
Art Unit	3749
Examiner Name	Unassigned
Attorney Docket Number	78781P036

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-2001/0051042 A1	12-13-2001	Ohmura		
		US-Des. 429,374	08-08-2000	Muller		
		US-1,639,753	08-23-1927	Shelton		
		US-2,827,060	03-18-1958	Marty		
		US-2,980,340	04-18-1961	McEachern		
		US-3,860,174	01-14-1975	Cercone		
		US-4,010,902	03-08-1977	Speyer		
_		US-4,019,260	04-26-1977	Levy, et al.		
		US-4,114,022	09-12-1978	Braulke, III		
		US-4,132,360	01-02-1979	Lee, Jr.		
		US-4,232,454	11-11-1980	Springer		
		US-4,295,283	10-20-1981	Tomaro, deceased		
		US-4,562,321	12-31-1985	Bolongeat-Mobleu, et al.		
		US-4,602,146	07-22-1986	Barnes, et al.		
		US-4,678,410	07-07-1987	Kullen		
		US-4,691,451	09-08-1987	Giorgis		
		US-4,716,604	01-05-1988	Watkins		
		US-4,732,539	03-22-1988	Shin-Chin		
		US-4,827,105	05-02-1989	Brown, Jr.		
		US-4,878,620	11-07-1989	Tarleton		

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code³ - Number⁴ - Kind Code³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
		1 183 345 - Canada	03-05-1985	Sunbeam Corporation			
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Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of **Sheet** 2 3

Co	omplete if Known
Application Number	10/666,641
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First Named Inventor	Paul S. Rago
Art Unit	3749
Examiner Name	Unassigned
Attorney Docket Number	78781P036

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Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-4,904,847	02-27-1990	Kosaka, et al.		
		US-4,934,069	06-19-1990	Kagi		
		US-4,936,027	06-26-1990	Tsuji		
		US-5,054,211	10-08-1991	Shulman		
		US-5,091,630	02-25-1992	Djuric		
		US-5,121,463	06-09-1992	Yoshihara		
		US-5,157,757	10-20-1992	McDougall		
		US-5,161,317	11-10-1992	McDougall		
		US-5,195,253	03-23-1993	Poumey, et al.		
		US-5,317,815	06-07-1994	Hwang		
		US-5,333,787	08-02-1994	Smith, et al.		
		US-5,341,578	08-30-1994	Anderson		
		US-5,343,881	09-06-1994	Golan, et al.		
		US-5,367,739	11-29-1994	Johnson		
		US-5,392,528	02-28-1995	McDougall		
		US-5,467,540	11-21-1995	Bastien		
		US-5,471,763	12-05-1995	McArthur		
		US-5,473,824	12-12-1995	Prehodka		
		US-5,546,674	08-20-1996	Lange, et al.		
		US-5,689,896	11-25-1997	Smetana		

FOREIGN PATENT DOCUMENTS						
Examiner	miner Cite Foreign Patent Document		Foreign Patent Document		Pages, Columns, Lines,	Π.
Initials*	No.'	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T°
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Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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Sheet 3 of 3

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7	Application Number	10/666,641	
_	Filing Date	September 18, 2003	
F	First Named Inventor	Paul S. Rago	
7	Art Unit	3749	
-[Examiner Name	Unassigned	
7	Attorney Docket Number	78781P036	

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,720,107	02-24-1998	Rolf, et al.	
		US-5,765,292	06-16-1998	Chan	
		US-5,839,451	11-24-1998	Dorber, et al.	
		US-5,841,943	11-24-1998	Nosenchuck	
		US-5,876,281	03-02-1999	Hirasawa, et al.	
		US-5,894,849	04-20-1999	Ehlhardt, et al.	
		US-6,011,903	01-04-2000	Nosenchuck	
		US-6,199,295 B1	03-13-2001	Smal, et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	т.
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	'
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Examiner	Date
Signature	Considered

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^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Application No. 10/666,641 T& TRIO TRANSMITTAL FORM Filing Date September 18, 2003 (to be used for all correspondence after initial filing) First Named Inventor Paul S. Rago Art Unit 3749 **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 78781P036 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks

Response to Missing Parts under 37 CFR
1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm William E. Alford, Reg. No. 37,764

Or Individual name

BLAKELY SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

January 7, 2004

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Susan McFarlane			•
Signature	Susa Metarkano	Date	January 7, 2004	
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Basic Filing Fee
Declaration/POA

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EE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

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	Complete if Known	
Application Number	10/666,641	
Filing Date	September 18, 2003	
First Named Inventor	Paul S. Rago	
Examiner Name	Unassigned	
Group/Art Unit	3749	
Attorney Docket No.	78781P036	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ ☐ Deposit Account		e Entity	į Sma	II Entity	,			
Deposit Account	Fee	Fee	Fee	Fee	_			
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fe	e Description		Fee Paid
Number U2-2000	1051	130	2051	65	Surcharge - late filing	fee or oath		
Deposit	1052	50	2052	25	Surcharge - late prov cover sheet.	isional filing fee or		
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specifica	ation		<u> </u>
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for		nation	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920 *	Requesting publication	n of SIR prior to		
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *		n of SIR after		
Charge fee(s) indicated below, except for the filling fee	1803	1,040	1005	1,040	Examiner action	in or on varies		
to the above-identified deposit account	1251	110	2251	55	Extension for reply wi	thin first month		
FEE CALCULATION	1252	420	2252	210	Extension for reply wi			
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply wi	thin third month		
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply wi	thin fourth month		
Fee Fee Fee Fee Fee Paid Code (5) Code (5)	1255	1,210	2255	605	Extension for reply wi	thin fifth month		
1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal			
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in suppo	rt of an appeal		
1003 530 2003 265 Plant filling fee	1403	290	2403	145	Request for oral hear	ing		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a	public use proceed	ling	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - un	avoidable		
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - un	intentional		
	1501	1,330	2501	665	Utility issue fee (or re	issue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee			
Total Claims below Fee Paid	1503	640	2503	320	Plant issue fee			
Independent - 20 = X	1460	130	2460	130	Petitions to the Comm			
Claims 3	1807	50	1807		Processing fee under			
<u> </u>	1806	180	1806	180	Submission of Inform		tmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording each pater property (times numb			
Code (\$) Code (\$)	1809	7 70	1809	385	Filing a submission af	ter final rejection		
1202 18 2202 9 Claims in excess of 20	4046	770	2012		(37 CFR § 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional in examined (37 CFR §			
1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continue	d Examination (RCI	E)	
1204 86 2204 43 "Reissue independent claims over original patent	1802	900	1802	900	Request for expedited	examination		<u> </u>
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)			of a design application) 		
original patent								
SUBTOTAL (2) (\$)	*Reduced	by Basic Filing	Fee D~	4		CURTOTAL (A)	(#\)	
**or number previously paid, if greater, For Reissues, see below	4	-y	الله اتب او	•	<u> </u>	SUBTOTAL (3)	(\$)	
SUBMITTED BY 0 / 0					Comp	lete (if applica	ble)	
Name (Print/Type) William/F, Alford		e gistratio tomey/Age		3	7,764	Telephone	(714) 55	
Signature // // Signature						Date	01/07	7/04

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

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Attorney Docket No.	78781P036						

METI	нор о	F PAYN	MENT (chec	k all that app	oly)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None					3. ADDITIONAL FEES								
Deposit A		dit card	Order	U Other	None	Large Entity Small				,			
Deposit Account						Fee	Fee	Fee	Fee	-			
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Name	Blake	ely, Sok	oloff, Taylo	r & Zafman	LLP	2053	130	2053	130	Non-English specifica	ntion		
The Commiss	sloner is a	uthorized t	o: (check all that a	apply)		1812	2,520	1812	2,520	For filing a request for	r <i>ex parte</i> reexamin	nation	
Charge fe	ee(s) indica	ted below	Cre	edit any overpaym	ents	1804	920 *	1804	920 '	 Requesting publication 	n of SIR prior to		
Charge ar	ny addition	al fee(s) red	uired under 37 CF	R §§ 1.16, 1.17, 1	.18 and 1.20.	1805	1,840*	1805	1 840 '	* Requesting publication	n of SIR after		
Charge fe	ee(s) indica	ted below,	except for the filin	ıg fee		1803	1,040	1005	1,010	Examiner action	in or on valler		
to the abo	ove-identific	ed deposit a	ccount			1251	110	2251	55	Extension for reply wi	thin first month		
		FEE C	ALCULATIO	N		1252	420	2252	210	Extension for reply wi	thin second month		
1. BA	SIC FIL	ING FE	E			1253	950	2253	475	Extension for reply wi	thin third month		
Large Entity	_	nad Entity	_			1254	1,480	2254	740	Extension for reply wi	thin fourth month		
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1003 5	30 200	3 265	Plant filing fee			1403	290	2403	145	Request for oral hear	ing		
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1005 10	60 200	5 80	Provisional filing	g fee		1452	110	2452	55	Petition to revive - un	avoidable		
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						1501	1,330	2501	665	Utility issue fee (or re	issue)		
2. EXT	TRA CL	AIM F	EES Extra	Fee from	F D-14	1502	480	2502	240	Design issue fee			
Total Claims		٠	Claims	below =	Fee Paid	1503	640	2503	320	Ptant issue fee			
Independent	-	_ 20*	-\X	<u>-</u> -		1460	130	2460	130	Petitions to the Comm			
Claims Multiple Depend	dent	_ _ 3	^			1807	50	1807		Processing fee under			
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Large Entity Fee Fee	-	all Entity Fee	Fee Description			8021	40	8021	40	Recording each pater property (times numb			
Code (\$)						1809	770	1809	385	Filing a submission af	ter final rejection		I
1202 18	8 220	2 9	Claims in excess	of 20						(37 CFR § 1.129(a))			
1201 86	6 220	1 43	Independent clain	ns in excess of 3		1810	770	2810	385	For each additional in examined (37 CFR §			
1203 290				nt claim, if not paid		1801	770	2801	385	Request for Continued		E)	
1204 86	6 220	4 43	**Reissue indeper patent	ndent claims over	onginal	1802	900	1802	900	Request for expedited	•	•	
1205 18	B 220	5 9	**Reissue claims i	in excess of 20 an	d over		e (specify)			of a design application			
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**or number previously paid, if greater, For Reissues, see below						- Heduced	by Basic Filing	jree Pak	1	•	SUBTOTAL (3)	(\$)	
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Name (Prin			F Alst-				gistratio		Complete (if applicable) 37.764 Telephone (714) 557-38				
realité (Pill	, yp u)	1 ** ###	im E. Alfor	a /	10/1	(At	tomey/Agei	nt)	3	37,764	Telephone	(/14):	JJ1-3800